Under the Paperwork Reduction Act of 1995. TRANSMITTAL FORM  (to be used for all correspondence after initial fill Total Number of Pages in This Submission	Filing Date First Named Inventor  Art Unit Examiner Name  Attorney Docket Number	PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 ent and Trademark Office; U.S. DEPARTMENT OF COMMERCE tion of information unless it displays a valid OMB control number.  10/683,769 October 10, 2003 Shuichi Otsuka 3745 Not yet assigned 051319-0090
Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts  under 37 CFR 1.52 or 1.53	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Add Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Remarks	After Allowance communication to Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information
Firm or Individual name Signature Date Malcolm J. Duncan, Reg. 1 Schulte Roth & Zabel, LLP May 3, 2004  CE	ERTIFICATE OF TRANSMISSIO	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

May 3, 2004

Malcolm J. Duncan, Esq.

Signature



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

10/683,769

Confirmation No. 1583

Applicant

Shuichi Otsuka

Filed

October 10, 2003

TC/A.U.

3745

Examiner

TBA

Title

**AXIAL FLOW FAN** 

Docket No.

051319-0090

Customer No.

29619

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Transmitted herewith is an Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98 in order to comply with the duty of disclosure under 37 C.F.R. § 1.56. The Statement is being submitted:

- [ ] within three months of the application filing date;
- [X] more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

A copy of one (1) referenced document with the English translation of the abstract, which is listed on the accompanying Form PTO/SB/08A is enclosed herewith.

In accordance with 37 C.F.R. § 1.98, submission of this statement requires no fee. However, if for any reason a fee is due, the Commissioner for Patents is hereby authorized 9635390.1

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Name: Malcolm Duncan, Esq. Reg. No. 50,651

Signature:

Dated: May 3, 2004

to charge any fees required in connection with this Information Disclosure Statement or credit any overpayments to Deposit Account No. 50-0675, order number 051319-0090.

It is respectfully requested that the reference be fully considered by the U.S. Patent and Trademark Office during the examination of this application and printed on any patent which may issue on this application. Applicant requests that a copy of Form PTO/SB/08A as considered and initialed by the Examiner be returned with the next communication.

An early and favorable action is respectfully requested.

Respectfully submitted,

Schulte Roth & Zabel LLP Attorneys for Applicant 919 Third Avenue New York, NY 10017 (212)756-2000

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Malcolm J. Duncan, Esq.

Reg. No. 50,651

Dated: May 3, 2004

New York, New York

Encls.

MJD/sc

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Substitute for form 1449/PTO

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/683,769		
Filing Date	October 10, 2003		
First Named Inventor	Shuichi Otsuka		
Art Unit	3745		
Examiner Name	Not yet assigned		
Attorney Docket Number	051319-0090		

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T6
	1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> ( <i>if known</i> )  JP 10 205497 A	08/04/1998	Zexel Corp.		V
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Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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